# **Application of Support Vector Machine for River flow Estimation**

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**Abstract**— In recent years application of intelligent methods has been considered in forecasting hydrologic processes. In this research, month river discharge of kakareza, a river located in lorestan province at the west of Iran, was forecasted using Support vector machine and as genetic programming Inference System methods in dehno stations. In this regard, some different combinations in the period (1979-2015) as input data for estimation of discharge in the month index were evaluated. Criteria of correlation coefficient, root mean square error and Nash Sutcliff coefficient to evaluate and compare the performance of methods were used. It showed that combined structure by using surveyed inelegant methods, resulted to an acceptable estimation of discharge to the kakareza river. In addition comparison between models shows that Support vector machine has a better performance than other models in inflow estimation. In terms of accuracy, Support vector machine with correlation coefficients (0.970) has more propriety than root mean square error (0.08m<sup>3</sup>/s) and Nash Sutcliff (0.94). To sum up, it is mentioned that Support vector machine method has a better capability to estimate the minimum, maximum and other flow values.

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Keyword— Genetic Programming, Estimate, Kakareza River, Support Vector Machine

#### I. INTRODUCTION

Nowadays one of the most important issues for managing flood and preventing the economic and physical damage caused by it, are correctly prediction the river flows. Accurate estimates of inflow to reservoirs could play an important role in the planning and management of water resources. But factors and various effects that have an influence on this phenomenon that analysis makes difficult. The statistical Models and the regression models are the most commonly analytical techniques that frequently according to a linear resolution of these phenomena presented results along with error and cannot model with acceptable accuracy temporal changes the phenomenon. So choose a model that could using affective factors, estimates acceptable the input current seems imperative. Recently artificial intelligent (AI) techniques have been applied to estimate/predict the discharge(Kisi and Cobaner 2009). These AI techniques are simple, robust and can handle complex non-linear processes with ease. From the literature, it is seen that the AI techniques such as gene expression programming (GEP), support vector machines (SVM), etc. were used to predict the discharge(Wang et al. 2008). As they are fully non-parametric, AI techniques have a major advantage that they do not require a priori

25 concept of the relations between the input variables and output data (Bhagwat and Maity 2012). A classical feature 26 of AI is that the models that are able to analyze the 27 stochasticity, dynamicity, patterns and attributes in the 28 29 input variables used to simulate the evaporation data, and so, are considered more feasible over the other methods of 30 the estimating of discharge data (e.g. experimental 31 approaches and physically-based models). 32

9 Examples using the SVM capability include: Stage– 33
10 discharge modeling (Barzegar et al 2019;Sahoo et al 2019; 34
11 Elkiran et al 2019; Rezaei et al 2019; Adnan et al 2019; 35
12 Fathian et al 2019; Yassen et al 2018; Imani et al 2018; 36
13 Tongal et al 2018; Ghorbani et al 2016;Londhe and 37
14 Gavraskar 2018;Ghazvinei et al 2017; Karahan et al2014; 38
15 He et al 2014). 39

16 In a research, Presented appropriate method for 40 17 seasonal flow discharge and horary used by SVM, in the 41 18 research using the amount of snow equivalent water and 42 19 the volume of the previous periods, forecasted amount 43 20 volume flow for the six-month time scales and 24-hour 44 21 than the result showed satisfactory model (Asefa et 45 22 al.2005). Using by genetic programming were modeled the 46 23 process rainfall-runoff with daily data in two fairly big 47 24 China basin that results of GP showed good agreement 48

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with real data (Jayawardenaet al.2005). In this paper, the 1 support vector machine (SVM) is presented as a promising 2 method for hydrological prediction. Through the 3 comparison of its performance with those of the ARMA 4 and ANN models, it is demonstrated that SVM is a very 5 potential candidate for the prediction of long-term 6 discharges(Lin et al,2006). Also in order to forecasts daily 7 discharge flow Shevell river in America used of genetic 8 programming and artificial neural network and showed 9 both methods had acceptable results but GP has relatively than artificial higher precision neural network (Guven.2009). Support Vector Machine (SVM) is used to forecast daily river flow and the results of these models are compared with observed daily values. The results showed a good performance in network support vector machine is estimating the daily discharge(Moharrampour et al.2012).

In total, according to the researches done and the fact that the river Kakareza is one of the most important rivers in Lorestan province and the most important source of water supply to different parts of its neighboring areas, which over the past decades has reduced the flow rate of the river in the basin, which can be explained by lower river basin fluxes and surface flows. Therefore, the importance of river discharge modeling and management measures to improve its water quality is more than necessary. Therefore, the aim of this study was to estimate the discharge of Kakareza River using a support vector machine based on the use of the principle of inductive minimization of structural error. In simulation, the learning29method with monitoring in radial base functions makes30estimating the parameter of high speed and error Less than31other kernel functions.(Vapnik,1995;Vapnik,1998).32

## II. MATERIALS AND METHODS

#### Case study and used data

Study area is kakareza river in the province of Lorestan, 36 10 Iran. this river is one of permanent rivers in the province 37 11 and is originated from southeastern mountains of aleshtar 38 12 and biranshahr (dehno). When this river passes through 39 13 aleshtar suburbs it is known as kakareza. The river is 40 14 between "15  $^{\circ}$  48  $^{\circ}$  49  $^{\circ}$  longitude to the" 22  $^{\circ}$  32 to "52  $^{\circ}$ 41 15 33 degrees latitude and it flows across the east of 42 16 Khorramabad (capital city of Lorestan Province). This 43 17 river is one of initial branches of karkhe river in zagros 44 18 mountains and have the average altitude of 1550 meters 45 19 above sea level. kakareza river basin area is about 1148 46 20 square kilometers and its river has a length of 85 km. 47 48 21 kakareza river joins Kashkan, Cimmeria, and Karkhe rivers 22 in its way and eventually pours into the Persian Gulf. The 49 23 geographical location of the study area is shown in Figure 50 24 1. In this study, available runoff data at monthly scale of 51 25 horod station (kakareza) from 1979 to 2015 in Lorestan 52 26 Regional Water was used. Table 1, the statistical properties 53 27 of kakareza river is shown during the mentioned period. 54



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Fig 1. Geographical location kakareza river

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Table 1. Statistical properties discharge parameter daily discharge (1979-2015)

Parameter		Training		Testing		
T di di lineter	Minimum	Mean	Maximum	Minimum	Mean	Maximum
Q	0.01	2.718464	25.15	0.05	1.701161	21.69

One of the most important steps in modeling, is select the right combination of input variables. Also shown in Table 2.The structure of input combinations.

Table2.The structure of input combinations	
Input	Output
Q(t-1)	Q(t)
Q(t-1)Q(t-2)	Q(t)
Q(t-1)Q(t-2)Q(t-3)	Q(t)
Q(t-1)Q(t-2)Q(t-3)Q(t-4)	Q(t)
	Q(t-1) Q(t-1)Q(t-2) Q(t-1)Q(t-2)Q(t-3)

In this Table Q(t-4), Q(t-3), Q(t-2), and Q(t-1) are 7 respectively discharge in t-4, t-3, t-2, and t-1 time as input 8 and Q(t) is discharge in t time as output being considered. 9 Due to the significant cross-correlation between input and 1 output data, in order to achieve an optimal model to 1 estimate the inflow to kakareza river use of different 1

combinations of input parameters that showed them in

7 Table3. To estimate input discharge kakareza river using
8 by Gene Expression Programming and Support Vector
9 Machine with have catchment hydrometric data from 432
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10 registered records during the period (1979-2015), count in
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11 345 records to training and 87 remaining records to
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12 verification.

ers that showed them in 13 Table 3.Correlation between input and output parameters

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	Q(t-1)	Q(t-2)	Q(t-3)	Q(t-4)
Q(t)	0.980	0.964	0.928	0.784

#### **Gene Expression Programming**

Gene Expression Programming method presented with Ferreira in 1999 (Ferreira.2001). This method is a combination of genetic algorithms (GA) and genetic programming (GP) method than in this, simple linear chromosomes of fixed length are similar to what is used in genetic algorithm and branched structures with different sizes and shapes are similar to the decomposition of trees in genetic programming.Since this method all branch structures of different shapes and size are encoded in linear chromosome with fixed length, this is equivalent than Phenotype and Genotype are separated from each other and system could use all evolutionary advantagesbecause of their. Now, however the Phenotype in GEP included branch structures used in GP, but the branch structures be inferences by GEP (than also calledtreestatement) are explainer all independent genomes. In short can say improvements happened in linear structure then is expressed similar with tree structure and this causes only the modified genomemoved to the Next Generation and don't need with heavy structure to reproduce and mutation

22 (Ferreira.2001). In this method different phenomena are 43 23 modeling by collection of functions and terminals. 44 Collection of functions generally include the main 45 24 functions of arithmetic  $\{+, -, \times, /\}$ , the trigonometric 46 25 47 functions or any other mathematical function  $\{\sqrt{1}, x^2, \sin, \frac{1}{2}\}$ 26 48 cos, log, exp, ...} or defined functions by author whom 27 believed they are appropriate for interpreting model. 49 28 Collection of terminals consist problem's constants values 50 29 and independent variables (2001). For applying gene 51 30 52 expression programming method is used GenXproTools 31 53 4.0 Software. In order to obtain more information can 32 recourse to (Ghorbaniet al.2012). 54 33

#### Support Vector Machine

35 Support Vector Machine is anefficient learning system 56 36 based on optimization theory that used the principle of 57 37 induction minimization Structural error and results an 58 38 overall optimal solution(Vapnik,1998). In regression 59 39 model SVM is estimated function associated with the 60 40 dependent variable Y as if is afunction of several 61 41 independent variables X(Xu et al.2007).Like other 62 42

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regression problems is assumed the relationship between 1 the dependent and independent variables to be determined 2 with algebraic function similar f(x) plus some allowable 3 error  $(\varepsilon)$ .

$$f(x)=W^{T}.\phi(x)+b$$
 (1) 5

$$y=f(x)+noise$$
 (2) 6

If W is coefficients vector, b is constant characteristic of regression function, and also  $\emptyset$  is kernel function, then goal is to find a functional form for f(x). It is realized with SVM model training by collection of samples (train collection). To calculate w and b require to be optimized error function in  $\varepsilon$ -SVM with considering the conditions embodied in Equation 4(Shin et al.2005).

$$\begin{split} & W^{T}. \ \varPhi(X_{i}) + b \cdot y_{i} \leq \epsilon + \epsilon_{i}^{*} \ , \frac{1}{2} W^{T} \ . \ W + C \ \sum_{i=1}^{N} \epsilon_{i} \ + \\ & C \sum_{i=1}^{N} \epsilon_{i}^{*} \qquad (3) \\ & y_{i} - W^{T}. \ \varPhi(X_{i}) - b \ \leq \ \epsilon + \ \epsilon_{i} \ , \epsilon_{i} \ , \epsilon_{i}^{*} \ \geq 0 \ , \ i = \\ & 1, 2, \dots, N \qquad (4) \end{split}$$

In the above equations, C is integer and positive, that it's factor of penalty determinant when an error occurs. Ø is kernel function, N is number of samples and two characteristics  $\varepsilon_i$  and  $\varepsilon_i^*$  are shortage variables. Finally can rewrite SVM function as follow(Shin et al,2005):

$$f(\mathbf{x}) = \sum_{i=1}^{N} \bar{\alpha}_i \, \boldsymbol{\emptyset}(\mathbf{x}_i)^{\mathrm{T}} \cdot \, \boldsymbol{\emptyset}(\mathbf{x}) + \mathbf{b}$$
(5)

Average Lagrange Coefficients  $\overline{\alpha}_i$  in characterized space is Ø(x). Maybe calculation be very complex. To solve this problem, the usual process of SVM model is choose a kernel function as follow relation.

$$K(X_J, X) = \emptyset(X_i)^T \sqrt{b^2 - 4ac}$$
(6)

Can be used of different kernel functions to create different types of  $\varepsilon$ -SVM. Various kernel functions used in SVM regression models are: Polynomial with three Characteristics of the target, Radial Basis Functions (RBF) with one Characteristics of the target, and Linear calculated follows respectively, are as relation(Vapnik.1998).

$$\mathbf{k}(\mathbf{x}_{i},\mathbf{x}_{j}) = \left(\mathbf{x}_{i}.\mathbf{x}_{j}\right)^{d}$$
(7)

$$K(x,x_i) = \exp\left(-\frac{\|x-x_i\|^2}{2\sigma^2}\right)$$
(8)

$$k(x_i, x_j) = x_i \cdot x_j \tag{9}$$

## **Evaluation Criteria**

In this research to evaluate the accuracy and efficiency of the models was used indices Correlation Coefficient (CC), Root Mean Square Error (RMSE), Nash-Sutcliffe coefficient (NS), and Bias according to the following

relations.Best values for these four criterions are 44 45 respectively 1, 0, 1, and 0.

$$CC = \frac{\sum_{i=1}^{N} (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^{N} (x_i - \bar{x})^2 \sum_{i=1}^{N} (y_i - \bar{y})^2}} - 1 \le R \le 1$$
(10) 46

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (x_i - y_i)^2}$$
(11) 47

NS=1-
$$\frac{\sum_{i=1}^{N} (x_i - y_i)^2}{\sum_{i=1}^{N} (x_i - \bar{y})^2}$$
 - $\infty \le$  NS  $\le 1$  (12) 48

In the above relations  $x_i$  and  $y_i$  are respectively 49 50 10 observed and calculated values in time step i, N is number 11 of time steps,  $\bar{x}$  and  $\bar{y}$  are respectively mean observed and 51 12 calculated values. 52

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#### III. **RESULTS AND DISCUSSION**

15 The general purpose of intelligent models is to express the 55 relation between variables that find their complexity 56 16 difficult in the nature of work with high uncertainty. Daily 57 17 stream flow is one of the important hydrological 58 18 parameters that is of great importance in future steps. In 59 19 order to reduce the error and also to estimate the daily flow 60 20 rate parameter with high accuracy using the lowest input 61 21 parameters, this method has been used which will provide 62 22 a better performance compared to approximate methods. 63 23 The aim of this study is to obtain this natural complexity 64 between hydrological parameters and provide a model for 65 24 prediction in the future, because daily discharge is more 66 25 important than other parameters, so this parameter is 67 26 selected as the target variable. 68 27

## The results of Gene Expression Programming

28 Using gene expression programmingdue to the 70 selection of variables in the model and remove variables 71 29 with less impact and also ability to provide a clear 72 30 relationship were considered to estimating inflow to the 73 31 kakareza river. Since ever four input areincorporated to 74 32 determining the significant variables and more reviews in 75 33 addition four of the original operator (F1) and the states 76 34 based on arithmetic operators default (F2). The reason for 77 35 choice this type of operator has been based on studies 78 79 **36** (Ghorbaniet al.2012) and (Khatibi et al.2012).

F1:{+, -,\*,/, 
$$\sqrt{}$$
, Exp, Ln,<sup>2</sup>,<sup>3</sup>,  $\sqrt[3]{}$ , Sin, Cos, Atan} (13) 80

38 Results of gene expression programming model for 82 39 both operator in Table4 show that F2 operator in both 83 stages training and verification with maximum correlation 84 40 coefficient R=0.88, root mean square error RMSE=0.15 85 41 and NS=0.76 has high accurate than other operators. 86 42 Therefore gene expression programming with F2 operator 87 43

include four the main mathematical operators with a simple 1 mathematical relationship has the most accurate to estimating inflow to the kakareza river. The scatter plots of gene expression programming related to the verification stage in Fig(2-b) show the fit line of computational values with four mathematical operators to the best fit line y=x.As is clear from this Fig, all of the estimated and observation values are in the fit line except few points that are not inbisector line which it isdenoted the estimated and observed values of equality on the line (y=x). The operation of gene expression programming is acceptable to estimating inflow, it should be noted this model worked fine, meanwhile these values estimate equal to actual values.

These results are consistent with Kisi and Shiri (2012) 15 research. And it can be stated that the equation obtained 16 from gene expression planning is obtained from the 17 random combination of the sum of the terminals and 18 functions. Therefore, if the relationship between inputs and 19 outputs is linear, but the operators sin, cos, etc. are selected 20 in the set of functions, the gene expression planning uses 21 the selective operators to extract the relationship, which 22 reduces the accuracy of the model. In this study, to 23 10 increase the precision of the model of the operators' sin, 24 11 cos, and so on, and with accuracy and simplicity, the 25 12 model derived from four basic mathematical operations 26 27 13 was proposed to estimate sediment load.

Table 4. The results of the planning model of gene expression programming using two sets of selected mathematical onerator

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		Training RMSE			Testing RMSE		
Number	Model						
		R	(m <sup>3</sup> /s)	NS	R	(m <sup>3</sup> /s)	NS
1	F1	0.70	0.31	0.63	0.76	0.25	0.64
	F2	0.73	0.32	0.64	0.78	0.23	0.66
2	F1	0.75	0.38	0.68	0.80	0.22	0.68
	F2	0.76	0.34	0.69	0.80	0.21	0.71
3	F1	0.79	0.26	0.71	0.82	0.19	0.72
	F2	0.80	0.21	0.73	0.84	0.19	0.73
4	F1	0.80	0.19	0.73	0.87	0.15	0.76
	F2	0.82	0.15	0.75	0.88	0.15	0.76





#### The results support vector machine

In order to estimate the inflow to the kakareza river by SVM model can examine types of kernel function, than was selected linear kernel, polynomial and radial basis functions that are common types used in hydrology. The results of study models is given in Table5. According to this table combined model number4 with radial basis functions kernel has the highest correlation coefficient R=0.97, lowest root mean square error RMSE=0.08 m<sup>3</sup>/s and NS=0.94 in verification stage that has optimal solution than other models. In Fig3 shown the best model for verification of data.

As shown in Fig(3-b) is clear computational values discharge of the support vector machine model verification corresponded with observed values. In this Fig can be seen insignificant difference some of values with the best fit line y=x. According to the diagram (3-a) can be seen high capability of the model. Also, according to Table 5, a high

performance support vector machine has been shown in the 19 Kakareza River discharge estimation, even if only one 20 21 input parameter is used, which leads to the presence of statistical deficiencies in this network with Having the 22 minimum input parameters, such as flow rate, one day 23 before, would have acceptable performance in flow rate 24 forecasting. In Fig. 3, changes in computational and 25 observational values of time are shown, it is seen that this 26 27 model was in the estimation of most of the values of acceptable accuracy in such a way that these estimates are 28 10 close to their actual value. The results are consistent with 29 11 the research by Buyukyildiz and Kumcu (2017) and 30 12 Nourani et al (2015). This can be explained by the fact that 31 13 the backup machine is based on the use of the principle of 32 14 inductive minimization of structural error. Therefore, in 33 15 simulation, using a learning method with monitoring in 34 16 35 radial base functions, the prediction of the parameter has a 17 higher velocity and less error than other kernel functions, 36 18 and this is a privilege of radial base functions. 37

Table 5. Results of the three kernel methods used in Support Vector Machine for training and verification data

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	Kernel		Training			Testing	
Number			RMSE			RMSE	
		R	(m <sup>3</sup> /s)	NS	R	(m <sup>3</sup> /s)	NS
	RBF	0.87	0.13	0.76	0.90	0.16	0.88
1	Poly	0.74	0.19	0.67	0.79	0.17	0.80
	Line	0.64	0.24	0.54	0.71	0.29	0.69
	RBF	0.89	0.12	0.80	0.93	0.11	0.90
2	Poly	0.76	0.17	0.69	0.81	0.16	0.82
	Line	0.67	0.22	0.58	0.75	0.27	0.71
	RBF	0.90	0.11	0.81	0.94	0.10	0.92
3	Poly	0.79	0.15	0.75	0.81	0.14	0.84
	Line	0.69	0.18	0.62	0.79	0.27	0.72
	RBF	0.91	0.09	0.82	0.97	0.08	0.94
4	Poly	0.81	0.14	0.75	0.84	0.13	0.87
	Line	0.80	0.18	0.66	0.80	0.26	0.73

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Fig 4. The scatter plot between estimated and observed values gene expression programming and support vector machine models for recorded data in verification stage

7 10 13 16 19 22 25 28 31 34 37 40 43 46 49 52 55 58 61 64 67 70 73 76 79 82 85

time (month)

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#### Time (month)

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#### Fig 5. All two models graph optimization error as a percentage of the mean observed value

Finally difference between the observed inflow values and optimal computational models calculated as a percentage of the mean observed values (error value) and was drawn this diagram in comparison with the data recorded (Fig5). As seen in this Fig, more errors to ever three models has been  $\pm 5$  band the highest error rate gene expression programming and support vector machine models are respectively 6.61 and 3.10 percent of the mean observed values. Among these models (GEP and SVM) svm model has lowest error value. Totally due to the high estimation accuracy and reliability gene expression programming and support vector machine models the correlation between the observed values and the computed values are respectively 0.970 and 0.880. Also the results of was significant estimated and observed values in the probability levels %5 and %10 shown, SVM model has significant correlation in both probability levels.

#### CONCLUSIONS IV.

In this research, we tried to evaluated performance some models to simulating discharge to the kakareza river In the province lorestan using by discharge month data in kakareza river. Used models include gene expression programming and support vector machine models. Observed inflow values compared with estimated inflow in these models (GEP and SVM). The results summarized as follows:

A: SVM model has high accurate and a little error to estimate minimum, maximum, middle values and peak discharge, and high correlation with the observed value. B: Gene expression programming model with the four basic arithmetic operations has high ability to estimating

minimum, maximum, and middle values and peak values, 35 also support vector machine with radial basis functions 36 kernel has high ability estimating minimum and middle 37 values but to estimating maximum values doesn't have 38 enough operation. C: Increasing the number of parameters 39 in the various models to simulating inflow cause to 40 improve operation to estimating inflow. D: Estimating 41 10 inflow using by combined models have lower error and 42 11 high correlation than other models to estimated inflow in 43 12 reservoirs dam. 44

13 Totally the results of this research showed support 45 14 vector machine method has highest accurate than other 46 15 models. As research results 47 (Ghorbaniet 16 al.2016),(Moharrampour et al.2012) and (Asefa et al.2005) 48 17 has been proven its. Also this research shown using of 49 18 gene expression programming and support vector machine 50 19 models could use to estimating inflow to the river. 51

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The authors declare that they have no conflict of interest.

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